Know your customer – trust

Information sheet

When to use this form

Use this form to provide the information we need to confirm and verify the trust's identity and any connected parties, and to meet our legal obligations (including those under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*).

Verifying a customer and any connected parties' identities

We need to verify or confirm the customer's identity and any connected parties by checking that certain details you provide in this form **match** the details that are in certain documents you need to attach to this form.

> Please send us **original certified copies** of your original documents—don't send us the original documents.
>
> If the document is not written in English, then you must also attach an English translation prepared by an accredited translator.

Getting your copies certified

You can see the full list of acceptable identification documents and list of people who can certify documents or extracts at **amp.com.au/identification**.

We will not process this form unless you have all the supporting documents. Please visit the website link above.

Privacy Collection and Disclosure Notice

AMP Bank collects personal information from the customer/entity and their connected parties named in this form and the acceptable identification documents provided as part of the AMP Bank KYC request, which will be used (along with any other information we already hold) to confirm and verify the customer/entity and their connected parties' identities. If we do not receive the personal information requested in this form and/or acceptable identification documents, we may need to restrict access or close any accounts you hold or have authority to operate.

We are required or authorised to collect this personal information under various laws including those relating to taxation and Anti-Money Laundering and Counter-Terrorism Financing Laws.

Some of the entities we might share your personal information with are listed in our privacy policy, and include:

- with the account holder, where necessary
- other members of the AMP group and external service providers that we need to deal with for the purposes described above
- courts, tribunals or government agencies as required by law or regulations
- persons or third parties authorised by you (including others named in this application), or if required or permitted by law.

Some external service providers we need to deal with can be located or host information outside Australia. A list of countries where these providers may be located can be obtained via the AMP Privacy Policy.

Personal information is treated in accordance with the AMP Privacy Policy, which sets out how to access or update your personal information. It also contains information on how you can make a complaint about a breach or potential breach of our privacy obligations, and how we deal with such a complaint. You can view our Privacy Policy online at **amp.com.au/privacy** or contact us on 13 30 30 for a copy.

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Please retain this information sheet for your records.
Do not return it with your completed form(s).

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AMP BANK
13 30 30

Know your customer – trust

Use this form to provide the information we need to verify the trust's identity and to meet our legal obligations (including those under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*).

Note: You should only use this form for a trust. A different form applies for an individual, sole trader, company or other organisation.

Please print in CAPITAL LETTERS and place a cross ☒ in any applicable boxes.

1. What you need to do – all trusts

Are you completing this form as an unregulated or regulated trust?

☐ Unregulated trust › Complete sections **1–8**

☐ Regulated trust › Complete sections **1, 2, and 4–8**

2. Trust name and type – all trusts

Please provide the account number for products held with AMP or any other reference number:

Full name of trust

Full business name (if any) of the trustee in respect of the trust

Type of trust

☐ Complying Self Managed Superannuation Fund (SMSF)

☐ Managed Investment Scheme registered by ASIC

☐ Managed Investment Scheme not registered by ASIC and only has wholesale customers and does not make small scale offerings

☐ Government Superannuation Fund

☐ Discretionary Trust

☐ Family Trust

☐ Unit Trust

☐ Testamentary Trust

☐ Fixed Trust

☐ Other

Country where the trust was established

☐ Australia

☐ Other–provide details below:

Name of country

2. Trust name and type – all trusts (continued)

Tax details

Is the entity a financial institution?	☐ Yes	☐ No
Is it an investment entity in a non-participating CRS jurisdiction and managed by another financial institution?	☐ Yes	☐ No
Is the entity a publicly listed company, majority owned subsidiary of a publicly listed company, international organisation, central bank or deceased estate?	☐ Yes	☐ No
Is the entity a Foreign Tax Resident?	☐ Yes	☐ No

Please complete all details below.

You must answer **Yes** if the entity is both a foreign and Australian tax resident. The ATO website provides residency test calculators to help determine tax residency, and information on the Common Reporting Standard (CRS) and the Foreign Account Tax Compliance Act (FATCA). Additional information about CRS and FATCA can be found on the OECD and IRS websites respectively (**oecd.org**, **ato.gov.au** and **irs.gov**).

The entity is a tax resident of the following countries:

Country of Foreign Tax Residency (if more than one Foreign Tax Residency, fill in the next row for each)

Tax Identification Number (TIN)[1] (if more than one Foreign Tax Residency, fill in the next row for each)

2. Trust name and type – all trusts (continued)

Tax details (continued)

If you cannot provide the TIN, please insert reason A, B or C from the list below (if more than one Foreign Tax Residency, fill in the next row for each)

1 A tax identification number is an identifying number used for tax purposes, normally issued by the local tax authority in a country – eg in Australia, the ATO issues a Tax File Number (TFN).

The reason my TIN is not available is:

A. The country of tax residence does not issue TINs.

B. The country of tax residence issues a TIN but I currently cannot provide it.

C. The country of tax residence does not require a TIN to be disclosed.

Is the entity an Active NFE? ☐ Yes ☐ No

An Active NFE includes an entity that is not a financial institution and derives less than 50% of its gross annual income from passive means (eg dividends, interest, royalties), and less than 50% of the assets held produce, or are held to produce, the passive income. For details of other Active NFE categories, refer to Section VIII of the Common Reporting Standard (CRS) – see 'Standard for Automatic Exchange of Financial Account Information' on the OECD website (**oecd.org**).

Is the trust a regulated trust?

☐ No, the trust is an unregulated trust

☐ Yes – the name of regulator

Australian Business Number

☐ Yes – Managed Investment Scheme registered by ASIC –
If yes, provide Australian registered scheme number (ARSN)

☐ Yes – Managed Investment Scheme is not registered by ASIC and only has wholesale customers and does not make small scale offerings –
If yes, provide Australian Business Number (ABN) of the scheme

☐ Yes – Government Superannuation Fund –
If yes, name of relevant legislation

3. Trust beneficiaries – all unregulated trusts

ⓘ Complete this section if you answered 'No, the trust is an unregulated trust' in section **2**.

Please provide the name of each beneficiary below (if the trust has more than four beneficiaries, attach a separate sheet).

Trust beneficiary 1

☐ Individual beneficiary—provide details below:

Title

Surname

Given name(s)

☐ Company/Entity beneficiary—provide details below:

Full company name

Trust beneficiary 2

☐ Individual beneficiary—provide details below:

Title

Surname

Given name(s)

☐ Company/Entity beneficiary—provide details below:

Full company name

Trust beneficiary 3

☐ Individual beneficiary—provide details below:

Title

Surname

Given name(s)

☐ Company/Entity beneficiary—provide details below:

Full company name

3. Trust beneficiaries – all unregulated trust (continued)

Trust beneficiary 4

☐ Individual beneficiary—provide details below:

Title

Surname

Given name(s)

☐ Company/Entity beneficiary—provide details below:

Full company name

Beneficiary Class

Do the terms of the trust identify the beneficiaries as members of a beneficiary class (eg unit holders, family members of a named person, charitable organisations or cause)? ☐ Yes ☐ No

If **No** – do not complete the name of beneficiary class field

If **Yes** – please complete the name of beneficiary class field

Name of beneficiary class(es)

4. Settlor – all trusts

☐ Unit trust that does not have a settlor

☐ Testimony trust

☐ Trust has a settlor

If you checked that the trust has a settlor, please provide the name of the settlor – the person who settled the initial sum to create the trust.

Settlor

☐ Individual settlor—provide details below:

Title

Surname

Given name(s)

☐ Company/Entity settlor—provide details below:

Full company name

The signatory(ies) confirm the settlor is either unknown, deceased or has no continuing connection with the trust and after reasonable inquiry, the signatory(ies) have no reason to believe that the settlor is/was a Foreign Tax Resident.

☐ Yes If **Yes** – do not complete the Foreign Tax Resident question for a settlor.

☐ No If **No** – please complete the Foreign Tax Resident question for a settlor.

4. Settlor – all trusts (continued)

Settlor (continued)

Are you a Foreign Tax Resident? ☐ Yes ☐ No

Foreign Tax Resident details

If you are a foreign tax resident and also a taxpayer in Australia, you should answer **Yes**.

All foreign tax residents and US citizens and resident alien individuals (ie green card test and substantial presence test) should answer **Yes**.

The ATO website provides residency test calculators to help you determine your tax residency, and information on the Common Reporting Standard (CRS) and the Foreign Account Tax Compliance Act (FATCA). Additional information about CRS and FATCA can be found on the OECD and IRS websites respectively (**oecd.org**, **ato.gov.au** and **irs.gov**).

I am a tax resident of the following countries:

Country of Foreign Tax Residency (if more than one Foreign Tax Residency, fill in the next row for each)

Tax Identification Number (TIN)[1] (if more than one Foreign Tax Residency, fill in the next row for each)

If you cannot provide the TIN, please insert reason A, B or C from the list below (if more than one Foreign Tax Residency, fill in the next row for each)

1 A tax identification number is an identifying number used for tax purposes, normally issued by the local tax authority in a country – eg in Australia, the ATO issues a Tax File Number (TFN).

The reason my TIN is not available is:

A. The country of my tax residence does not issue TINs.

B. The country of my tax residence issues a TIN but I currently cannot provide it.

C. The country of my tax residence does not require a TIN to be disclosed.

Applicable to Company/Entity Settlors who are Foreign Tax Residents only

Is the entity a financial institution? ☐ Yes ☐ No

Is it an investment entity in a non-participating CRS jurisdiction and managed by another financial institution? ☐ Yes ☐ No

Is the entity a publicly listed company, majority owned subsidiary of a publicly listed company, international organisation, central bank or deceased estate? ☐ Yes ☐ No

5. Trustees

How many trustees does your trust have?

Trustees that are an individual(s) – go to section **6** to complete for each individual trustee

Trustees that are a company(ies)

If one or more Trustee(s) are a company, please complete and attach the '**Know Your Customer – Company**' form for each Company Trustee

Note: If your trust is regulated, you only need to provide the 'Know Your Customer – Company' form for the primary trustee. For all trusts, if your trust has more than four company trustees, attach details of additional company trustees on a separate sheet.

Company Trustee 1

Full company name of Trustee (as registered by ASIC or an equivalent foreign registration body)

Company Trustee 2

Full company name of Trustee (as registered by ASIC or an equivalent foreign registration body)

Company Trustee 3

Full company name of Trustee (as registered by ASIC or an equivalent foreign registration body)

Company Trustee 4

Full company name of Trustee (as registered by ASIC or an equivalent foreign registration body)

6. Connected Party details

Please complete the below, which is based on your trust type. One person may occupy multiple roles – use the check boxes to indicate which roles apply to each person. If your trust has more than four connected parties, attach details of additional connected parties on a separate sheet.

For unregulated trusts only – Beneficial owners are people who ultimately own 25% or more of the entity. If no beneficial owners meet this definition, then provide details for the people who indirectly or directly control the entity (**Controlling Persons**) through the capacity to direct trustees, the ability to appoint or remove trustees, acting as trustee, or by way of trusts, agreements, arrangements, understandings and practices eg the appointer or protector of the trust.

6. Connected Party details (continued)

Connected party 1

Role (Cross all that apply)

- ☐ **Individual Trustee** (ID required)
- ☐ **Beneficial Owner** (ID required) (unregulated trusts only)
- ☐ **Controlling Person** (ID required) (unregulated trusts only)
- ☐ **Signatory** (ID required)

Title

Date of birth D D M M Y Y Y Y

Surname

Given name(s)

Gender

☐ Male ☐ Female ☐ Other

Residential address (a PO Box is not acceptable)

Suburb

State

Postcode

Country

Are you a Foreign Tax Resident? ☐ Yes ☐ No

Foreign Tax Resident details

If you are a foreign tax resident and also a taxpayer in Australia, you should answer **Yes**.

All foreign tax residents and US citizens and resident alien individuals (ie green card test and substantial presence test) should answer **Yes**.

The ATO website provides residency test calculators to help you determine your tax residency, and information on the Common Reporting Standard (CRS) and the Foreign Account Tax Compliance Act (FATCA). Additional information about CRS and FATCA can be found on the OECD and IRS websites respectively (**oecd.org**, **ato.gov.au** and **irs.gov**).

I am a tax resident of the following countries:

Country of Foreign Tax Residency (if more than one Foreign Tax Residency, fill in the next row for each)

Tax Identification Number (TIN)[1] (if more than one Foreign Tax Residency, fill in the next row for each)

6. Connected Party details (continued)

Connected party 1 (continued)

If you cannot provide the TIN, please insert reason A, B or C from the list below (if more than one Foreign Tax Residency, fill in the next row for each)

1 A tax identification number is an identifying number used for tax purposes, normally issued by the local tax authority in a country – eg in Australia, the ATO issues a Tax File Number (TFN).

The reason my TIN is not available is:

A. The country of my tax residence does not issue TINs.

B. The country of my tax residence issues a TIN but I currently cannot provide it.

C. The country of my tax residence does not require a TIN to be disclosed.

Connected party 2

Role (Cross all that apply)

☐ **Individual Trustee** (ID required)

☐ **Beneficial Owner** (ID required) (unregulated trusts only)

☐ **Controlling Person** (ID required) (unregulated trusts only)

☐ **Signatory** (ID required)

Title

Date of birth D D M M Y Y Y Y

Surname

Given name(s)

Gender

☐ Male ☐ Female ☐ Other

Residential address (a PO Box is not acceptable)

Suburb

State

Postcode

Country

Are you a Foreign Tax Resident? ☐ Yes ☐ No

Foreign Tax Resident details

If you are a foreign tax resident and also a taxpayer in Australia, you should answer **Yes**.

All foreign tax residents and US citizens and resident alien individuals (ie green card test and substantial presence test) should answer **Yes**.

6. Connected Party details (continued)

Connected party 2 (continued)

The ATO website provides residency test calculators to help you determine your tax residency, and information on the Common Reporting Standard (CRS) and the Foreign Account Tax Compliance Act (FATCA). Additional information about CRS and FATCA can be found on the OECD and IRS websites respectively (**oecd.org**, **ato.gov.au** and **irs.gov**).

I am a tax resident of the following countries:

Country of Foreign Tax Residency (if more than one Foreign Tax Residency, fill in the next row for each)

Tax Identification Number (TIN)[1] (if more than one Foreign Tax Residency, fill in the next row for each)

If you cannot provide the TIN, please insert reason A, B or C from the list below (if more than one Foreign Tax Residency, fill in the next row for each)

1 A tax identification number is an identifying number used for tax purposes, normally issued by the local tax authority in a country – eg in Australia, the ATO issues a Tax File Number (TFN).

The reason my TIN is not available is:

A. The country of my tax residence does not issue TINs.

B. The country of my tax residence issues a TIN but I currently cannot provide it.

C. The country of my tax residence does not require a TIN to be disclosed.

Connected party 3

Role (Cross all that apply)

☐ **Individual Trustee** (ID required)

☐ **Beneficial Owner** (ID required) (unregulated trusts only)

☐ **Controlling Person** (ID required) (unregulated trusts only)

☐ **Signatory** (ID required)

Title

Date of birth D D M M Y Y Y Y

Surname

Given name(s)

Gender

☐ Male ☐ Female ☐ Other

6. Connected Party details (continued)

Connected party 3 (continued)

Residential address (a PO Box is not acceptable)

Suburb | State | Postcode

Country

Are you a Foreign Tax Resident? ☐ Yes ☐ No

Foreign Tax Resident details

If you are a foreign tax resident and also a taxpayer in Australia, you should answer **Yes**.

All foreign tax residents and US citizens and resident alien individuals (ie green card test and substantial presence test) should answer **Yes**.

The ATO website provides residency test calculators to help you determine your tax residency, and information on the Common Reporting Standard (CRS) and the Foreign Account Tax Compliance Act (FATCA). Additional information about CRS and FATCA can be found on the OECD and IRS websites respectively (**oecd.org**, **ato.gov.au** and **irs.gov**).

I am a tax resident of the following countries:

Country of Foreign Tax Residency (if more than one Foreign Tax Residency, fill in the next row for each)

Tax Identification Number (TIN)[1] (if more than one Foreign Tax Residency, fill in the next row for each)

If you cannot provide the TIN, please insert reason A, B or C from the list below (if more than one Foreign Tax Residency, fill in the next row for each)

1 A tax identification number is an identifying number used for tax purposes, normally issued by the local tax authority in a country – eg in Australia, the ATO issues a Tax File Number (TFN).

The reason my TIN is not available is:

A. The country of my tax residence does not issue TINs.

B. The country of my tax residence issues a TIN but I currently cannot provide it.

C. The country of my tax residence does not require a TIN to be disclosed.

6. Connected Party details (continued)

Connected party 4

Role (Cross all that apply)

☐ **Individual Trustee** (ID required)

☐ **Beneficial Owner** (ID required) (unregulated trusts only)

☐ **Controlling Person** (ID required) (unregulated trusts only)

☐ **Signatory** (ID required)

Title | Date of birth D D M M Y Y Y Y

Surname

Given name(s)

Gender

☐ Male ☐ Female ☐ Other

Residential address (a PO Box is not acceptable)

Suburb | State | Postcode

Country

Are you a Foreign Tax Resident? ☐ Yes ☐ No

Foreign Tax Resident details

If you are a foreign tax resident and also a taxpayer in Australia, you should answer **Yes**.

All foreign tax residents and US citizens and resident alien individuals (ie green card test and substantial presence test) should answer **Yes**.

The ATO website provides residency test calculators to help you determine your tax residency, and information on the Common Reporting Standard (CRS) and the Foreign Account Tax Compliance Act (FATCA). Additional information about CRS and FATCA can be found on the OECD and IRS websites respectively (**oecd.org**, **ato.gov.au** and **irs.gov**).

I am a tax resident of the following countries:

Country of Foreign Tax Residency (if more than one Foreign Tax Residency, fill in the next row for each)

Tax Identification Number (TIN)[1] (if more than one Foreign Tax Residency, fill in the next row for each)

6. Connected Party details (continued)

Connected party 4 (continued)

If you cannot provide the TIN, please insert reason A, B or C from the list below (if more than one Foreign Tax Residency, fill in the next row for each)

1 A tax identification number is an identifying number used for tax purposes, normally issued by the local tax authority in a country – eg in Australia, the ATO issues a Tax File Number (TFN).

The reason my TIN is not available is:

A. The country of my tax residence does not issue TINs.

B. The country of my tax residence issues a TIN but I currently cannot provide it.

C. The country of my tax residence does not require a TIN to be disclosed.

7. Source of Wealth, Source of Funds and Nature and Purpose of Business Relationship for the Trust

Source of Wealth

How have you built your overall wealth?

Please select the most relevant option (select one only):

- ☐ Income from employment (ie regular and/or bonus).
- ☐ Investment income (eg rent, dividends, pension).
- ☐ Business income.
- ☐ One-off payment (eg matured investment, court settlement, redundancy, inheritance).
- ☐ Sale of assets (eg shares, property).
- ☐ Windfall (eg gift, lottery or gambling winnings).

Source of Funds

Please select the source of funds to service your account(s).

Please select the most relevant option (select one only):

- ☐ Income from employment (ie regular and/or bonus).
- ☐ Investment income (eg rent, dividends, pension).
- ☐ Business income.
- ☐ One-off payment (eg matured investment, court settlement, redundancy, inheritance).
- ☐ Sale of assets (eg shares, property).
- ☐ Windfall (eg gift, lottery or gambling winnings).
- ☐ Borrowed funds.
- ☐ Government benefits (eg childcare rebate, family tax benefit).

7. Source of Wealth, Source of Funds and Nature and Purpose of Business Relationship for the Trust (continued)

Nature and Purpose of Business Relationship

Why do you have your account(s)?

Please select the most relevant option (select one only):

- ☐ Everyday banking (eg regular deposits and withdrawals for everyday expenses).
- ☐ Business income (eg regular deposits and withdrawals for business expenses—usually for non-individuals).
- ☐ Savings income (eg regular deposits (with few withdrawals) earning interest to grow your balance).
- ☐ Building wealth (eg a large initial deposit—using investment returns to grow your wealth over time).

8. Declaration by Signatory/Signatories

By signing below I confirm that I, and in the case of a person signing the form, I and the trust:

1. Have read and understood the Privacy Collection and Disclosure Notice in the Information Sheet.
2. Have obtained consent from any other individual whose personal information has been disclosed in this form, and I have informed the individual of the information within the Privacy Collection and Disclosure Notice.
3. Are not commonly known by any names other than those disclosed in this form or otherwise to AMP Bank.
4. Have provided true and accurate information in relation to this form. Any document or information to be used for the purposes of this form (whether or not provided on or with this form):
   - is correct and complete,
   - if it's about another person, is provided with the authority of that person (if required), and
   - may be used for any other products, services or benefits offered or provided to me through AMP Bank or any other company in the AMP group and subject to their privacy obligations, may be disclosed to and used by the providers of such products, services or benefits to facilitate compliance with anti-money laundering and counter-terrorism financing legislation.
5. By submitting this form the authorised party(ies) of the entity confirm that only the Trustee(s) named on this KYC form is/are the active Trustee(s) for the entity. The authorised party(ies) understand and agree that AMP Bank's systems will be updated to reflect this.
6. (Applicable for unregulated trusts only) By submitting this form the authorised party(ies) of the entity confirm that only the beneficial owner(s)/controlling person(s) named on this KYC form is/are the active beneficial owner(s)/controlling person(s) for the entity. The authorised party(ies) understand and agree that AMP Bank's systems will be updated to reflect this.

8. Declaration by Signatory/Signatories (continued)

7. By submitting this form the authorised party(ies) of the entity confirm that only the signatory(ies) named on this KYC form is/are the active signatory(ies) authorised for the entity. The authorised party(ies) understand and agree that AMP Bank's systems will be updated to reflect this.
8. Understand that it is a criminal offence to knowingly provide false or misleading information or documents in connection with this form.

   Such information may comprise customer information (including personal information), account documentation and account information (including account balance, and current and historical account and transactional information).
9. Agree to notify AMP within 30 days from when there are any changes to the information provided in this form, including the tax residency of the customer/organisation or any of its controlling persons changes.

Where I have appointed an agent or third party signatory and that person is signing this form on my behalf, the last five declarations above are also given by and bind them in their personal capacity. I will provide proof of authority (such as a Power of Attorney, accompanied by a **Third Party Access form** and **Identification Verification form**) which I have obtained from **amp.com.au**.

These declarations are given by the trustee of the trust named in section 5 and by any signatories signing this form.

By submitting this form I also acknowledge that AMP Bank may decide to delay or refuse any request or transaction (deposits and withdrawals) if AMP Bank has not been able to verify my or a connected party's identity, or if AMP Bank believes in good faith that allowing the transaction may cause an offence to be committed. I understand that AMP Bank does not accept responsibility for any such delay or refusal.

If your entity has more than four signatories, please submit an additional application form that captures the customer's and the additional signatories' details.

Signatory 1

Name

Signature

✗

Date

D D M M Y Y Y Y

Signatory 2

Name

Signature

✗

Date

D D M M Y Y Y Y

8. Declaration by Signatory/Signatories (continued)

Signatory 3

Name

Signature

✗

Date

D D M M Y Y Y Y

Signatory 4

Name

Signature

✗

Date

D D M M Y Y Y Y

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